### UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

### FORM 6-K

REPORT OF FOREIGN PRIVATE ISSUER PURSUANT TO RULE 13a-16 OR 15d-16 OF THE SECURITIES EXCHANGE ACT OF 1934

FOR THE MONTH OF DECEMBER 2020

**COMMISSION FILE NUMBER 001-38976** 

#### Genmab A/S

(Exact name of Registrant as specified in its charter)

Kalvebod Brygge 43 1560 Copenhagen V Denmark +45 70 20 27 28

(Address of principal executive offices)

Indicate by check mark whether the registrant files or will file annual reports under cover Form 20-F or Form 40-F.

Form 20-F ⊠ Form 40-F □

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(1)

Yes 🗆 🛛 No 🖾

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(7)

Yes 🗆 🛛 No 🖾

This report on Form 6-K shall be deemed to be incorporated by reference in Genmab A/S's registration statements on Form S-8 (File No. 333-232693) and to be a part thereof from the date on which this report is filed, to the extent not superseded by documents or reports subsequently filed or furnished.

SIGNATURE Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

GENMAB A/S

BY: <u>/s/ Anthony Pagano</u> Name: Anthony Pagano Title: Executive Vice President & Chief Financial Officer

DATE: December 15, 2020

#### EXHIBIT INDEX

Exhibit Description of Exhibit

99.1 Company Announcement Dated December 15, 2020: Grant of Restricted Stock Units to Board Members and Employees and Grant of Warrants to Employees in Genmab

99.2 Company Announcement Dated December 15, 2020: Transactions with shares and linked securities in Genmab A/S made by managerial employees and their closely associated persons



### Grant of Restricted Stock Units to Board Members and Employees and Grant of Warrants to Employees in Genmab

#### **Company Announcement**

Copenhagen, Denmark; December 15, 2020 – Genmab A/S (Nasdaq: GMAB) announced today that at a board meeting the board decided to grant 9,663 restricted stock units to members of the board of directors and employees of the company as well as the company's subsidiaries and 24,964 warrants to employees of the company and the company's subsidiaries.

Each restricted stock unit is awarded cost-free and provides the owner with a right and obligation to receive one share in Genmab A/S of nominally DKK 1. The vesting of the restricted stock units granted to members of the board of directors will be subject to additional vesting criteria in the event of a change of control. The fair value of each restricted stock unit is equal to the closing market price on the date of grant of one Genmab A/S share, DKK 2,381.

The restricted stock units will vest on the first banking day of the month following a period of three years from the date of grant. Furthermore, the restricted stock units are subject to vesting conditions set out in the restricted stock unit program adopted by the board of directors in accordance with the Remuneration Policy adopted by the shareholders at the annual general meeting. Information concerning Genmab's restricted stock unit program can be found on www.genmab.com under Investors > Stock information > Restricted stock units.

The exercise price for each warrant is DKK 2,381. Each warrant is awarded cost-free and entitles the owner to subscribe one share of nominally DKK 1 subject to payment of the exercise price. By application of the Black-Scholes formula, the fair value of each warrant can be calculated as DKK 754.05.

The warrants vest three years after the grant date, and all warrants expire at the seventh anniversary of the grant date. The new warrants have been granted on the terms and conditions set out in the warrant program adopted by the board of directors on March 28, 2017. Information concerning Genmab's warrant schemes can be found on www.genmab.com under Investors > Stock information > Warrants.

#### About Genmab

Genmab is a publicly traded, international biotechnology company specializing in the creation and development of differentiated antibody therapeutics for the treatment of cancer. Founded in 1999, the company is the creator of the following approved antibodies: DARZALEX® (daratumumab, under agreement with Janssen Biotech, Inc.) for the treatment of certain multiple myeloma indications in territories including the U.S., Europe and Japan, Kesimpta® (subcutaneous ofatumumab, under agreement with Novartis AG), for the treatment of adults with relapsing forms of multiple sclerosis in the U.S. and TEPEZZA® (teprotumumab, under agreement with Roche granting sublicense to Horizon Therapeutics plc) for the treatment of thyroid eye disease in the U.S. A subcutaneous formulation of daratumumab, known as DARZALEX FASPRO™ (daratumumab and hyaluronidase-fihj) in the U.S., has been approved in the U.S. and Europe for the treatment of adult patients with certain multiple myeloma indications. The first approved Genmab created therapy, Arzerra® (ofatumumab, under agreement with Novartis AG), approved for the treatment of certain chronic lymphocytic leukemia indications, is available in Japan and is also available in other territories via compassionate use or oncology access programs. Daratumumab is in clinical development by Janssen for the treatment of additional multiple myeloma indications, other blood cancers and amyloidosis. Genmab also has a broad clinical and pre-clinical product pipeline. Genmab's technology base consists of validated and proprietary next generation antibody technologies - the DuoBody® platform for generation of bispecific antibodies, the HexaBody® platform, which creates effector function enhanced antibodies, the HexElect® platform, which combines two co-dependently acting HexaBody molecules to introduce selectivity while maximizing therapeutic potency and the DuoHexaBody® platform, which enhances the potential potency

Genmab A/S Kalvebod Brygge 43 21560 Copenhagen V, Denmark Tel: +45 7020 2728 Fax: +45 7020 2729 www.genmab.com Company Announcement no. 50 Page 1/2 CVR no. 2102 3884 LEI Code 529900MTJPDPE4MHJ122



### Grant of Restricted Stock Units to Board Members and Employees and Grant of Warrants to Employees in Genmab

of bispecific antibodies through hexamerization. The company intends to leverage these technologies to create opportunities for full or co-ownership of future products. Genmab has alliances with top tier pharmaceutical and biotechnology companies. Genmab is headquartered in Copenhagen, Denmark with sites in Utrecht, the Netherlands, Princeton, New Jersey, U.S. and Tokyo, Japan.

#### Contact:

Marisol Peron, Corporate Vice President, Communications & Investor Relations T: +1 609 524 0065; E: mmp@genmab.com

#### For Investor Relations:

Andrew Carlsen, Senior Director, Investor Relations T: +45 3377 9558; E: acn@genmab.com

This Company Announcement contains forward looking statements. The words "believe", "expect", "anticipate", "intend" and "plan" and similar expressions identify forward looking statements. Actual results or performance may differ materially from any future results or performance expressed or implied by such statements. The important factors that could cause our actual results or performance to differ materially include, among others, risks associated with preclinical and clinical development of products, uncertainties related to the outcome and conduct of clinical trials including unforeseen safety issues, uncertainties related to product manufacturing, the lack of market acceptance of our products, our inability to manage growth, the competitive environment in relation to our business area and markets, our inability to attract and retain suitably qualified personnel, the unenforceability or lack of protection of our patents and proprietary rights, our relationships with affiliated entities, changes and developments in technology which may render our products or technologies obsolete, and other factors. For a further discussion of these risks, please refer to the risk management sections in Genmab's most recent financial reports, which are available on www.genmab.com and the risk factors included in Genmab's most recent Annual Report on Form 20-F and other filings with the U.S. Securities and Exchange Commission (SEC), which are available at www.sec.gov. Genmab does not undertake any obligation to update or revise forward looking statements in this Company Announcement nor to confirm such statements to reflect subsequent events or circumstances after the date made or in relation to actual results, unless required by law.

Genmab A/S and/or its subsidiaries own the following trademarks: Genmab<sup>®</sup>; the Y-shaped Genmab logo<sup>®</sup>; Genmab in combination with the Y-shaped Genmab logo<sup>®</sup>; HuMax<sup>®</sup>; DuoBody<sup>®</sup>; DuoBody<sup>®</sup>; DuoBody<sup>®</sup>; DuoBody<sup>®</sup>; DuoBody<sup>®</sup>; HexaBody<sup>®</sup>; HexaBody<sup>®</sup>; HexaBody<sup>®</sup>; HexaBody<sup>®</sup>; Arzerra<sup>®</sup> and Kesimpta<sup>®</sup> are trademarks of Novartis AG or its affiliates. DARZALEX<sup>®</sup> and DARZALEX FASPRO<sup>™</sup> are trademarks of Janssen Pharmaceutica NV. TEPEZZA<sup>®</sup> is a trademark of Horizon Therapeutics plc.

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#### **Company Announcement**

Copenhagen, Denmark; December 15, 2020 – In accordance with Article 19 of Regulation No. 596/2014 on Market Abuse and Implementing Regulation 2016/523, this document discloses the data of the transactions made in Genmab A/S (Nasdaq: GMAB) made by managerial employees and their closely associated persons.

The company's managerial employees and their closely associated persons have given Genmab A/S power of attorney on their behalf to publish trading in Genmab shares by the company's managerial employees and their closely associated persons.

1.	Details of the person discharging	· · · · ·		
	person closely associated			
a)	Name	Deirdre P. Connelly		
2.	Reason for the notification	-		
a)	Position/status	Chair of the Board of Directors		
b)	Initial notification/Amendment	Initial notification		
3.	Details of the issuer, emission a	allowance market participant,		
	auction platform, auctioneer or a	uction monitor		
a)	Name	Genmab A/S		
b)	LEI-code	529900MTJPDPE4MHJ122		
4.		Details of the transaction(s): section to be repeated for		
	(i) each type of instrument; (ii) each type of transaction;			
	(iii) each date; and (iv) each place where transactions have been conducted			
a)	Description of the financial	Restricted Stock Units related to	shares of Genmab A/S	
	instrument, type of instrument			
	Identification code	DK0010272202		
b)	Nature of the transaction	Grant of Restricted Stock Units		
c)	Price(s) and volume(s)	Price(s)	Volume(s)	
0)		DKK 0.00	335	
d)	Aggregated information		555	
u)	- Aggregated volume			
	- Price			
e)	Date of the transaction	2020-12-15		
f)	Place of the transaction	Outside the market		

Please find below a statement of such trading in shares issued by Genmab A/S

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1.	Details of the person discharging	managerial responsibilities /	
	person closely associated		
a)	Name Pernille Erenbjerg		
2.	Reason for the notification	•	
a)	Position/status	Member of the Board of Directors	
b)	Initial notification/Amendment	Initial notification	
3.	Details of the issuer, emission allowance market participant,		
	auction platform, auctioneer or au	ction monitor	
a)	Name	Genmab A/S	
b)	LEI-code	529900MTJPDPE4MHJ122	
4.	Details of the transaction(s): section to be repeated for		
	(i) each type of instrument; (ii) each type of transaction;		
	(iii) each date; and (iv) each place where transactions have been conducted		
a)	Description of the financial	Restricted Stock Units related to s	hares of Genmab A/S
	instrument, type of instrument		
	Identification code	DK0010272202	
b)	Nature of the transaction	Grant of Restricted Stock Units	
c)	Price(s) and volume(s)	Price(s)	Volume(s)
		DKK 0.00	251
d)	Aggregated information		
	- Aggregated volume		
	- Price		
e)	Date of the transaction	2020-12-15	
f)	Place of the transaction	Outside the market	

1.	Details of the person discharging managerial responsibilities / person closely associated		
a)	Name Anders Gersel Pedersen		
2.	Reason for the notification		
a)	Position/status	Member of the Board of Directors	
b)	Initial notification/Amendment	Initial notification	
3.	Details of the issuer, emission a	lowance market participant,	
	auction platform, auctioneer or auction monitor		
a)	Name	Genmab A/S	
b)	LEI-code	529900MTJPDPE4MHJ122	

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4.	Details of the transaction(s): section to be repeated for (i) each type of instrument; (ii) each type of transaction;			
	(iii) each date; and (iv) each place where transactions have been conducted			
a)	Description of the financial	Restricted Stock Units	related to shares of Genmab A/S	
	instrument, type of instrument			
	Identification code	DK0010272202		
b)	Nature of the transaction	Grant of Restricted Sto	ck Units	
C)	Price(s) and volume(s)	Price(s)	Volume(s)	
		DKK 0.00	167	
d)	Aggregated information		•	
	- Aggregated volume			
	- Price			
e)	Date of the transaction	2020-12-15		
f)	Place of the transaction	Outside the market		
1.	Details of the person discharging	ng managerial responsibi	lities /	
	person closely associated			
a)	Name	Jonathan Peacock		
2.	Reason for the notification			
a)	Position/status	Member of the Board of	of Directors	
b)	Initial notification/Amendment	Initial notification		
3.	Details of the issuer, emission	allowance market partie	cipant,	
	auction platform, auctioneer or	auction monitor		
a)	Name	Genmab A/S		
b)	LEI-code	529900MTJPDPE4MH	J122	

# 4. Details of the transaction(s): section to be repeated for (i) each type of instrument; (ii) each type of transaction; (iii) each date; and (iv) each place where transactions have been conducted

		(III) each date; and (IV) each place where transactions have been conducted		
а	l)	Description of the financial	Restricted Stock Units related to shares of Genmab A/S	
		instrument, type of instrument		
		Identification code	DK0010272202	
b	))	Nature of the transaction	Grant of Restricted Stock Units	
С	;)	Price(s) and volume(s)	Price(s)	Volume(s)
			DKK 0.00	167

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(		Aggregated information - Aggregated volume - Price	
(	e)	Date of the transaction	2020-12-15
	f)	Place of the transaction	Outside the market

1.	Details of the person discharging managerial responsibilities /				
	person closely associated				
a)	Name	Mijke Zachariasse	Mijke Zachariasse		
2.	Reason for the notification				
a)	Position/status	Member of the Board of Directors			
b)	Initial notification/Amendment	Initial notification			
3.	Details of the issuer, emission al	lowance market participant,			
	auction platform, auctioneer or au	ction monitor			
a)	Name	Genmab A/S			
b)	LEI-code	529900MTJPDPE4MHJ122			
4.	Details of the transaction(s): section	on to be repeated for			
	(i) each type of instrument; (ii) eac	ch type of transaction;			
	(iii) each date; and (iv) each place	where transactions have been co	onducted		
a)	Description of the financial	Restricted Stock Units related to s	hares of Genmab A/S		
	instrument, type of instrument				
	Identification code	DK0010272202			
b)	Nature of the transaction	Grant of Restricted Stock Units			
c)	Price(s) and volume(s)	Price(s)	Volume(s)		
		DKK 0.00	167		
d)	Aggregated information				
	- Aggregated volume				
	- Price				
e)	Date of the transaction	2020-12-15			
f)	Place of the transaction	Outside the market			

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1.	Details of the person discharging	managerial responsibilities /		
	person closely associated			
a)	Name	Paolo Paoletti		
2.	Reason for the notification			
a)	Position/status	Member of the Board of Directors		
b)	Initial notification/Amendment	Initial notification		
3.	Details of the issuer, emission al	lowance market participant,		
	auction platform, auctioneer or au	ction monitor		
a)	Name	Genmab A/S		
b)	LEI-code	529900MTJPDPE4MHJ122		
4.	Details of the transaction(s): section	on to be repeated for		
		(i) each type of instrument; (ii) each type of transaction; (iii) each date; and (iv) each place where transactions have been conducted		
	(iii) each date; and (iv) each place			
a)	Description of the financial	Restricted Stock Units related to s	hares of Genmab A/S	
	instrument, type of instrument			
	Identification code	DK0010272202		
b)	Nature of the transaction	Grant of Restricted Stock Units		
C)	Price(s) and volume(s)	Price(s)	Volume(s)	
		DKK 0.00	167	
d)	Aggregated information			
	- Aggregated volume			
	- Price			
e)	Date of the transaction	2020-12-15		
f)	Place of the transaction	Outside the market		

1.	Details of the person discharging managerial responsibilities / person closely associated		
a)	Name	Peter Storm Kristensen	
2.	Reason for the notification		
a)	Position/status	Member of the Board of Directors	
b)	Initial notification/Amendment	Initial notification	
3.	Details of the issuer, emission al	lowance market participant,	
	auction platform, auctioneer or auction monitor		
a)	Name	Genmab A/S	
b)	LEI-code	529900MTJPDPE4MHJ122	

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4.	Details of the transaction(s): section to be repeated for (i) each type of instrument; (ii) each type of transaction; (iii) each date; and (iv) each place where transactions have been conducted		
a)	Description of the financial instrument, type of instrument	Restricted Stock Units related to shares of Genmab A/S	
	Identification code		
b)	Nature of the transaction	Grant of Restricted Stock Units	
C)	Price(s) and volume(s)	Price(s)	Volume(s)
		DKK 0.00	167
d)	Aggregated information - Aggregated volume - Price		
e)	Date of the transaction	2020-12-15	
f)	Place of the transaction	Outside the market	

1. Details of the person discharging managerial responsibilities /			ies /	
	person closely associated			
a)	Name	Rima Bawarshi Nassar		
2.	Reason for the notification			
a)	Position/status	Member of the Board of	Directors	
b)	Initial notification/Amendment	Initial notification		
3.	Details of the issuer, emission	allowance market partici	pant,	
	auction platform, auctioneer or	auction monitor		
a)	Name	Genmab A/S	Genmab A/S	
b)	LEI-code	529900MTJPDPE4MHJ1	529900MTJPDPE4MHJ122	
4.	Details of the transaction(s): see	ction to be repeated for		
	(i) each type of instrument; (ii) e	each type of transaction;		
	(iii) each date; and (iv) each plac	ce where transactions have	e been conducted	
a)	Description of the financial	Restricted Stock Units re	lated to shares of Genmab A/S	
	instrument, type of instrument			
	Identification code	DK0010272202		
b)	Nature of the transaction	Grant of Restricted Stock	Units	
C)	Price(s) and volume(s)	Price(s)	Volume(s)	

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		DKK 0.00	167
d)	Aggregated information - Aggregated volume - Price		
e)	Date of the transaction	2020-12-15	
f)	Place of the transaction	Outside the market	

1.	Details of the person discharging managerial responsibilities /			
	person closely associated			
a)	Name	Rolf Hoffmann		
2.	Reason for the notification			
a)	Position/status	Member of the Board of Directors		
b)	Initial notification/Amendment	Initial notification		
3.	Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor			
a)	Name	Genmab A/S		
b)	LEI-code	529900MTJPDPE4MHJ122		
4.	Details of the transaction(s): section to be repeated for			
	(i) each type of instrument; (ii) eac	ent; (ii) each type of transaction;		
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a)	Description of the financial	Restricted Stock Units related to shares of Genmab A/S		
	instrument, type of instrument			
	Identification code	DK0010272202		
b)	Nature of the transaction	Grant of Restricted Stock Units		
c)	Price(s) and volume(s)	Price(s)	Volume(s)	
		DKK 0.00	167	
d)	Aggregated information		•	
	- Aggregated volume			
	- Price			
e)	Date of the transaction	2020-12-15		
f)	Place of the transaction	Outside the market		

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#### Contact:

Marisol Peron, Corporate Vice President, Communications & Investor Relations T: +1 609 524 0065; E: mmp@genmab.com

#### For Investor Relations:

Andrew Carlsen, Senior Director, Investor Relations T: +45 3377 9558; E: acn@genmab.com

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