

# Daratumumab in Combination With Lenalidomide Plus Dexamethasone Results in Persistent Natural Killer (NK) Cells With a Distinct Phenotype and Expansion of Effector Memory T Cells in POLLUX, a Phase 3 Randomized Study

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## INTRODUCTION

Daratumumab (DARA) is an anti-CD38 monoclonal antibody that is approved in the United States and Europe for the treatment of patients with relapsed/refractory multiple myeloma (RRMM):<sup>1,2</sup>

- As monotherapy in heavily pretreated multiple myeloma patients who received ≥3 prior lines of therapy, including a proteasome inhibitor and an immunomodulatory drug, or who are double refractory to these agents
- In combination with lenalidomide and dexamethasone or bortezomib and dexamethasone for multiple myeloma patients who received ≥1 prior therapy
- In combination with pomalidomide and dexamethasone for multiple myeloma patients who received ≥2 prior therapies, including lenalidomide and a proteasome inhibitor (United States only)

DARA has direct on-tumor mechanisms of action that include complement-dependent cytotoxicity,<sup>3</sup> antibody-dependent cellular cytotoxicity (ADCC),<sup>3</sup> antibody-dependent cellular phagocytosis,<sup>3</sup> apoptosis,<sup>3</sup> and direct enzymatic inhibition<sup>4</sup> (Figure 1)

DARA (16 mg/kg) single-agent, phase 1/2 translational studies (MMY2002<sup>5</sup> and GEN501<sup>6</sup>) revealed an additional, novel immunomodulatory mechanism of action that can induce lysis of myeloid-derived suppressor cells, regulatory B cells, and a subpopulation of regulatory T cells (T<sub>reg</sub>, CD4<sup>+</sup>CD25<sup>+</sup>CD127<sup>dim</sup>) to promote T-cell activity<sup>7</sup>

Natural killer (NK) cells were reduced in these studies with no effect on DARA efficacy or safety<sup>8</sup>

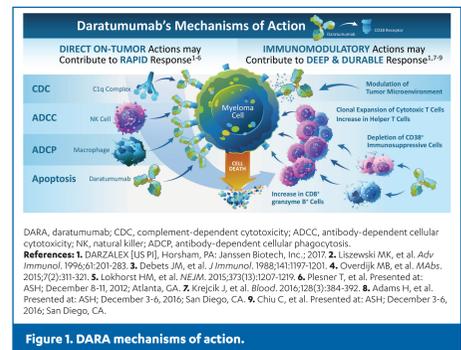


Figure 1. DARA mechanisms of action.

Current limitations of flow cytometry warrant incorporation of next-generation, high-parameter, single-cell analysis platforms that allow exploration of the dynamic immune system at a greater resolution

Mass cytometry by time-of-flight (CyTOF<sup>®</sup>) was used to assess the effects of DARA on patients in POLLUX (ClinicalTrials.gov Identifier: NCT02076009), a phase 3 study evaluating the effects of DARA in combination with a standard of care regimen (lenalidomide plus dexamethasone [Rd]) for RRMM patients, to yield a more comprehensive phenotypic and functional profile of immune cell subpopulations

## OBJECTIVE

Patient blood samples were analyzed at baseline (Cycle [C] 1 Day [D] 1) and after treatment (3D1) with Rd or DARA plus Rd (DRd) using CyTOF<sup>®</sup> to further investigate DARA immune modulation inclusive of promoting adaptive T-cell responses and immunophenotypical changes in persisting NK cells

## METHODS

- POLLUX is a multicenter, randomized (1:1), open-label, active-controlled, phase 3 study evaluating the efficacy of DRd versus Rd in patients with RRMM
- Whole blood samples from RRMM patients in POLLUX were collected:
  - At baseline (DRd, n = 40; Rd, n = 45)
  - After 2 months of therapy (DRd, n = 31; Rd, n = 33; with matched baseline sample)
- Samples were stained with a metal-conjugated antibody panel and evaluated by CyTOF<sup>®</sup>
- Sufficient-quality (>10K singlet events) samples were then clustered into nodes of similar cellular events using the spanning-tree progression of density-normalized events (SPADE) algorithm,<sup>10</sup> followed by gating into immune populations via Cytobank<sup>®</sup> software

- Raw data were used for data distribution exploration
- Quality control of this sample set, including ordinal embedding,<sup>11</sup> clustering of the Earth Mover's Distance<sup>12</sup> of the percent of cells, and subsequent tree structure, revealed the absence of technical batch effects and the expected clustering of control samples (in blue; Figure 2)

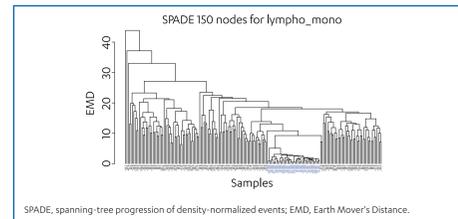


Figure 2. Dendrogram illustrating the clustering of patient and control samples based on Earth Mover's Distances.

- Classic lineage markers were used exclusively to cluster cellular events into common node types (Table 1)

Table 1. CyTOF <sup>®</sup> Antibody SPADE Clustering Markers		
Lineage markers		Markers of interest
CD45	CD27	CD38
CD11b	CD66b	Caspase 3
CD14	CD127	CD137
CD3	HLA-DR	Granzyme B
CD4	CD45RA	CD55
CD8	CD45RO	CD137
CD19	CD34	CD138
CD20	CD123	CD69
CD16	CD15	VISTA
CD56	CD28	PD-1
CD11c	CD25	TIM3

CyTOF<sup>®</sup>, cytometry by time-of-flight; SPADE, spanning-tree progression of density-normalized events; HLA-DR, human leukocyte antigen-D related; CTLA4, cytotoxic T-lymphocyte-associated protein 4; VISTA, V-domain immunoglobulin suppressor of T-cell activation; PD-1, programmed death-1; TIM3, T-cell immunoglobulin mucin-3.

- Differential analysis of population fractions and marker intensity, over time and between treatment groups, derived raw P values from T tests for longitudinal samples (paired and unpaired) and baseline samples (unpaired) analyses

- Single cell-level bootstrap adjusted P values were corrected for multiple dependent hypothesis testing
- Results were visualized using SPADE trees and Radviz projections,<sup>14</sup> a new method that allows for the comparison of populations and conditions while preserving the relation to original dimensions

- Numbers (nodes) grayed out in SPADE trees were not included in the analysis due to a restricted parent-child population comparison or the existence of an empty node for 1 patient sample in the respective data set

- CyTOF<sup>®</sup> data were correlated with clonality, T-cell fraction, and richness of the T-cell repertoire data<sup>15</sup> of these same patients generated with immunoseq (Adaptive Biotechnologies, Seattle, WA)

## RESULTS

- Consistent with previous DARA randomized monotherapy studies,<sup>10,16</sup> a decrease in CD38 expression across cell types (Figure 3A) occurred in DRd patients after 2 months of therapy

- DRd treatment resulted in a reduction of NK cells and an increase in T cells (Figure 3B)

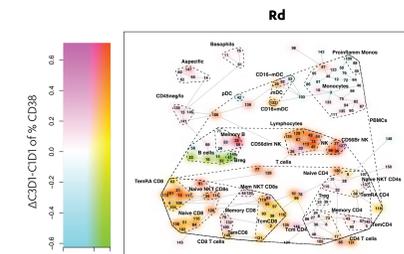
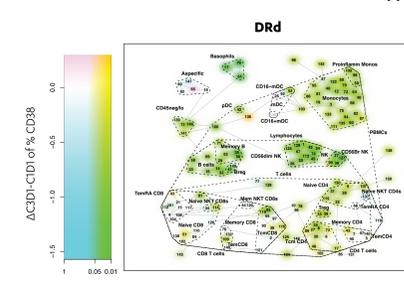
- NK cells that persisted with DRd treatment have a distinct phenotype, suggesting that these cells remain competent to elicit ADCC (Figure 4):

- Decreased expression of programmed death-1 (PD-1; CD279)
- Increased expression of human leukocyte antigen-D related (HLA-DR), CD69, CD127, and CD27

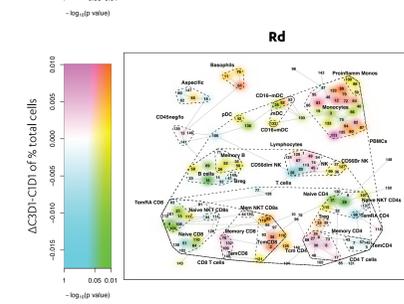
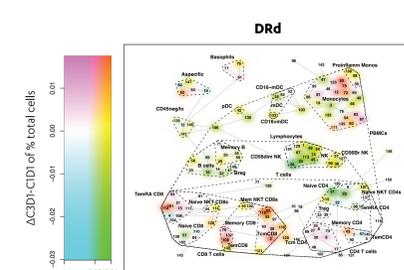
- These effects were negligible or not observed with Rd and may affect the adaptive immune response

- Consistent with observations from DARA monotherapy and combination therapy studies,<sup>14</sup> CD38<sup>+</sup> T<sub>reg</sub> cell population that potentially suppresses T-cell proliferation,<sup>17</sup> were exclusively decreased by DRd (Figure 5)

### A. Difference in mean CD38 levels with 2 months of therapy



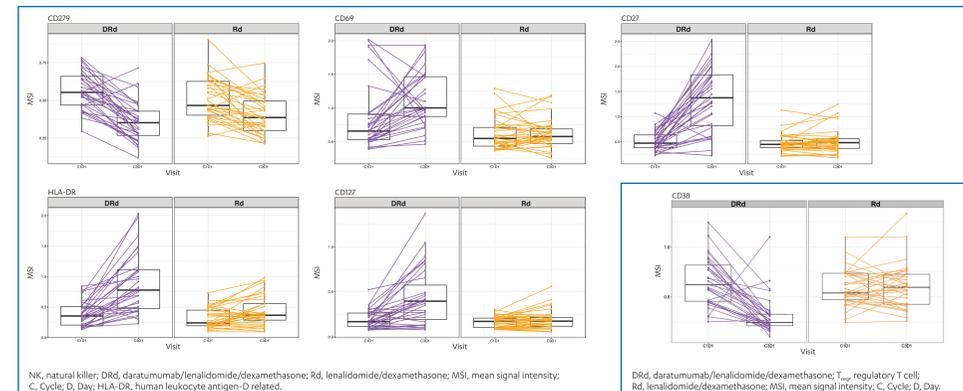
### B. Difference in mean total cell levels with 2 months of therapy



DRd, daratumumab/lenalidomide/dexamethasone; Rd, lenalidomide/dexamethasone; C, Cycle; D, Day; Monos, monocytes; mDC, myeloid dendritic cell; pDC, plasmacytoid dendritic cell; BMAc, peripheral blood mononuclear cell; BR, bright; NK, natural killer; B<sub>reg</sub>, regulatory B cell; TemRA, effector memory CD45RA<sup>+</sup> T cell; NKt, natural killer T cell; Tem, effector memory T cell.

Note: Nodes are colored by decrease (cyan; green, if significant) or increase (magenta; red, if significant).

Figure 3. Overall DRd- and Rd-mediated changes on (A) CD38 expression on immune cell populations and (B) total immune cell populations.



NK, natural killer; DRd, daratumumab/lenalidomide/dexamethasone; Rd, lenalidomide/dexamethasone; MSI, mean signal intensity; C, Cycle; D, Day; HLA-DR, human leukocyte antigen-D related.

Figure 4. Phenotypic changes observed in NK cells that persist upon DRd and Rd treatment.

- Interestingly, the proportion of T cells increased preferentially in deep responders (<complete response) receiving DRd and correlated with a higher proportion of CD8<sup>+</sup> versus CD4<sup>+</sup> T cells (Figure 6A)

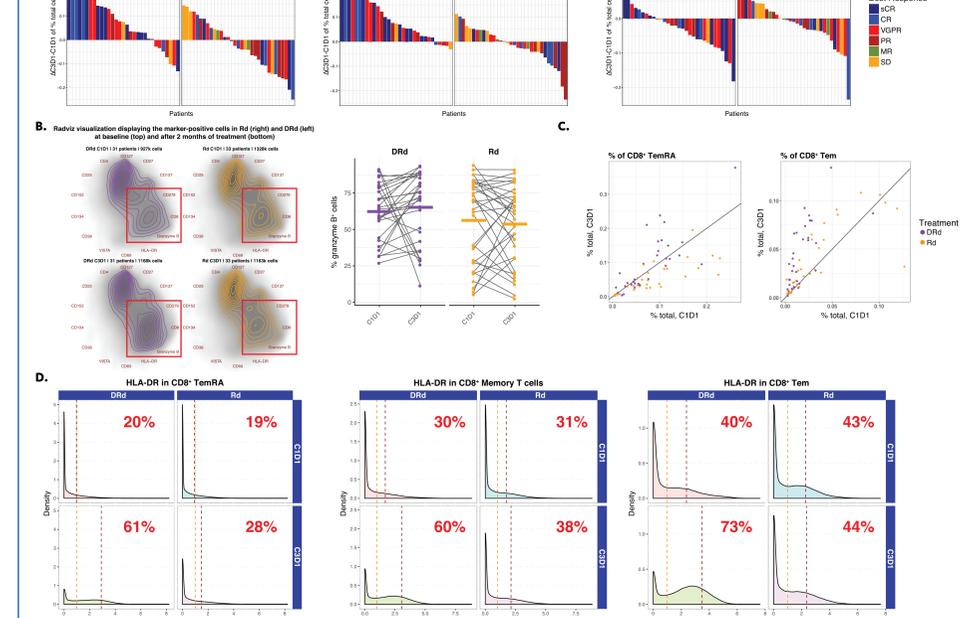
- CD3<sup>+</sup> T cells evaluated simultaneously for several markers of activation and exhaustion revealed a shift in composition towards CD8<sup>+</sup> granzyme B<sup>+</sup> T cells in response to DRd (Figure 6B)

- This observation was corroborated by paired sample analysis of granzyme B in cytotoxic CD8<sup>+</sup> cells where this increase was distinct for DRd-treated patients (Figure 6B)

- Furthermore, DRd led to a higher proportion of effector memory T cells versus Rd (Figure 6C)

- In DRd-treated patients, greater increases in HLA-DR expression were observed than in Rd, particularly for effector memory CD8<sup>+</sup> T cells (Figure 6D)

- Red percentages indicate the percentage of cells in the distribution above a mean signal intensity of 1



Density plots of HLA-DR expression on memory and effector memory CD8<sup>+</sup> T cells, before (top) and upon (bottom) treatment. DRd treatment (left panels) and Rd treatment (right panels). Vertical hashed orange lines are set at an MSI = 1, while vertical hashed purple lines are the MSI for 80% of the distribution. Red percentages indicate the percentage of cells in the distribution above an MSI of 1.

DRd, daratumumab/lenalidomide/dexamethasone; Rd, lenalidomide/dexamethasone; HLA-DR, human leukocyte antigen-D related; C, Cycle; D, Day; sCR, stringent complete response; CR, complete response; VGPR, very good partial response; PR, partial response; MR, minimal response; SD, stable disease; TemRA, effector memory CD45RA<sup>+</sup> T cells; Tem, effector memory T cells; MSI, mean signal intensity.

Figure 6. Effects of DRd and Rd on (A) T-cell correlation with response to treatment, (B) CD3<sup>+</sup> T cells, (C) effector memory T cells, and (D) HLA-DR expression on CD8<sup>+</sup> T cell subtypes.

- The expansion of CD8<sup>+</sup> T cells observed with CyTOF<sup>®</sup> is correlated with a clonality increase of the T-cell repertoire (Figure 7)

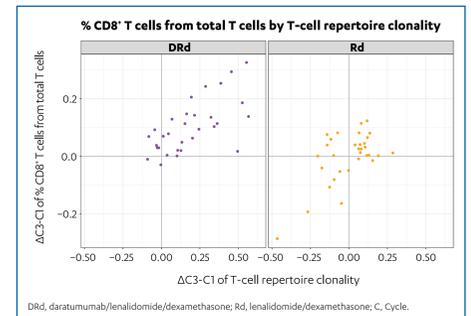


Figure 7. T-cell repertoire clonality.

## CONCLUSIONS

- DARA in combination with Rd specifically induced distinct and unique phenotypic changes in residual NK cells, suggesting that these cells may contribute to immune responses

- Of particular interest are the T-cell profile changes specifically induced by DRd, which include expansion of effector memory T cells, preferential increase in CD8<sup>+</sup> T cells in deep responders, and increased expression of activation markers

- The expansion of CD8<sup>+</sup> T cells observed in DRd-treated patients was correlated to an increase of clonality of their T-cell repertoire, suggesting an adaptive immune response

- This study supports the immunomodulatory mechanism of action of DARA and provides additional insight into changes in NK cells, T-cell subtypes, and activation status following DARA-based therapy

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